



AURIGNAC FINANCE

Ethics and best practice principles

Primacy of clients' interests

We build our activity on establishing and maintaining a relationship of trust with our clients.

We proceed in a manner guided by a primary aim – preserving our clients' interests – therefore all efforts and actions we deploy are orientated only and exclusively to serve these interests.

Our mission consists in providing our customers with tailor-made advisory services with the purpose to achieve the best possible solution for the latter, even if it could harm our own interests on the short term.

Our financial and operational independence allows us to avoid conflicts of interest by preserving total balance-sheet neutrality regarding an investment opportunity or a transaction we advise, or regarding other stakeholders involved in the process. The maximisation of our clients' interests is the path we chose for building our reputation in a long-term vision.

Respect of ethics and best practice principles

Our entire team strictly applies these principles. We are convinced that our activity can and shall be practiced only in conformity with best practice rules that have as main goal the best possible consulting service for the client.

We give particular importance to current rules and regulations that apply to our activity and we care to respect them.

Our principles of best practice drive us to do better than regulatory obligations that apply to us. We endeavour to apply standards of ethics and professionalism on which we build our reputation.

- Transparency: we act in total transparency with our clients, effective or potential, and we make sure to inform our prospects of possible conflicts of interest we may have as early as possible.
- Quality: we constantly strive for excellence in all services and advisory we offer.
- Confidentiality: we endeavour to preserve total confidentiality of information and projects we have in charge. Confidentiality represents a key element for a successful investment project
- Anti-money laundering: we apply internal procedures to identify and prevent any operation that could generate or use funds of illicit nature (tax fraud, organised crime, etc.), as well as any operation aiming to finance illegal or terrorist activities and could be forced to notify it to the competent authorities .

We remain very aware to new issues we could be confronted to in terms of ethics. In that sense, we continuously share and integrate these new cases in our best practice principles.